

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
KIYOFUMI SAKAGUCHI	Examiner: P. T. Pang
Application No.: 10/654,008	: Group Art Unit: 2818
Filed: September 4, 2003	; )
For: SUBSTRATE AND MANUFACTURING METHOD THEREFOR	) : : ) August 11, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. An official action from the corresponding Taiwanese patent application is also enclosed together with Taiwan Patent Publication No. 437078 and its U.S. counterpart, U.S. Patent No. 6,342,433.

## **CONCLUSION**

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Peter Saxon

Attorney for Applicant Registration No. 24,947

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FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 00862.023220 APPLICATION NO. 10/654,008							
U.S. DEPARTMENT OF COMPERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT (\$10)			APPLICANT  KIYOFUMI SAKAGUCHI							
(Use several sheets if necessary			FILING DATE September 4, 2003		GROUP 2818					
		FINT & TRADEMPET		S. PATENT DOCUMENTS	1					
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE			
	6,342,433	1/02		Ohmi et al.	438	455				
	4,617,668	9/03	Koide et al.		257	615	5/00			
	5,403,771	4/95	Nishida et al.		438	97				
	5,402,989	4/95	Takasu, H.		257	506				
	4,851,078	7/89	Short et al.		438	406				
				- The state of the						
		,	FOF	REIGN PATENT DOCUMENTS	1	1				
	DOCUMENT NUMBER	DATE		COUNTRY	CLAS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT			
	437078	5/01		Taiwan		_	Abstract			
		OTHER DOCUMEN	NT(S)	(Including Author, Title, Date, Pertinent Pages, Etc.)						
						<del> </del>				
EXAMINER		<u> </u>		DATE CONSIDERED						

Sheet\_1\_ of \_ 1\_

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.